

09-10-03

IN 09/09/2003

## MAILING LABEL: EL984394468US

PTO/SB/21 (05-03)

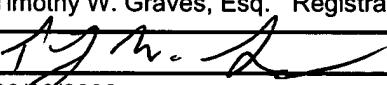
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|  |     |                        |                  |
|--|-----|------------------------|------------------|
|  |     | Application Number     | 10/613,659       |
|  |     | Filing Date            | 07/03/2003       |
|  |     | First Named Inventor   | Andrew DANFORTH  |
|  |     | Art Unit               |                  |
|  |     | Examiner Name          | Not yet assigned |
| Total Number of Pages in This Submission | 05+ | Attorney Docket Number | 2816-025         |

| ENCLOSURES (Check all that apply)  |   |   |
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| <input type="checkbox"/> Fee Transmittal Form  | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance communication to Group   |
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| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   |   |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53   |   |   |
| <b>Remarks</b>   |   |   |
| This submission contains an Information Disclosure Statement Pursuant to 37 CFR §1.97; PTO/SB/08 Information Disclosure Statement by Applicant (3 pages) including 15 of 34 references; and a return receipt postcard. |   |   |
| Please note that copies of the cited U.S. Patents and U.S. Patent Applications are not enclosed pursuant to the PTO's waiver of the requirement under 37 C.F.R. § 1.98(a) for applications filed after June 30, 2003.  |   |   |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |   |
|-------------------------|---|
| Firm or Individual name | Timothy W. Graves, Esq. Registration No. 45, 940                                    |
| Signature               |  |
| Date                    | 09/09/2003  |

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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EXPRESS MAILING LABEL NO. EL984394468US IN DATE: 09/09/2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Andrew DANFORTH, et al.

Serial No.: 10/613,659  
Filing Date: 07/03/2003

Group Art Unit: Not yet assigned  
Examiner: Not yet assigned

For: Method to Block Unauthorized Access to TFTP Server Configuration Files

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

\* \* \* \* \*

INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 CFR §1.97

\* \* \* \* \*

Dear Sir:

In accordance with 37 CFR §1.56, Applicant hereby submits the following Information Disclosure Statement. The form PTO/SB/08 submitted lists references Applicant thinks may be relevant. These documents are not necessarily analogous art. It is respectfully requested that these documents be considered by the Examiner, and that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO/SB/08 with initials or other appropriate marks affixed. Copies of the cited U.S. Patents and U.S. Patent Applications are not enclosed pursuant to the PTO's waiver of the requirement under 37 CFR §1.98(a) for patent applications filed after June 30, 2003. Copies of the Non-Patent Literature Documents are enclosed herewith.

Respectfully Submitted,

Timothy W. Graves, Esq.  
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(703) 391-2900



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Substitute for form 1449/PTO

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| Application Number     | 10/613,659       |
| Filing Date            | 07/03/2003       |
| First Named Inventor   | Andrew DANFORTH  |
| Art Unit               |                  |
| Examiner Name          | Not yet assigned |
| Attorney Docket Number | 2816-025         |

Sheet 01 of 03

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
| 01                 | US- 5,528,595         |  | 06/18/1996                     | Walsh et al.                                       |   |
| 02                 | US- 6,170,061         |  | 01/02/2001                     | Beser  |   |
| 03                 | US- 6,195,689         |  | 02/27/2001                     | Bahlmann   |   |
| 04                 | US- 6,208,656         |  | 03/27/2001                     | Hrastar et al                                      |   |
| 05                 | US- 6,249,523         |  | 06/19/2001                     | Hrastar et al.                                     |   |
| 06                 | US- 6,286,058         |  | 09/04/2001                     | Hrastar et al.                                     |   |
| 07                 | US- 2001/0032311      |  | 10/18/2001                     | White  |   |
| 08                 | US- 6,324,267         |  | 11/27/2001                     | Hraster et al.                                     |   |
| 09                 | US- 2002/0023160      |  | 02/21/2002                     | Garrett et al.                                     |   |
| 10                 | US- 6,359,882         |  | 03/19/2002                     | Robles et al.                                      |   |
| 11                 | US- 2002/0035623      |  | 03/21/2002                     | Lawande et al.                                     |   |
| 12                 | US- 6,405,253         |  | 06/11/2002                     | Schutte et al.                                     |   |
| 13                 | US- 2002/0073433      |  | 06/13/2002                     | Furuta   |   |
| 14                 | US- 6,430,193         |  | 08/06/2002                     | Raissinia et al.                                   |   |
| 15                 | US- 2002/0144284      |  | 10/03/2002                     | Burroughs et al.                                   |   |
| 16                 | US- 2003/0033379      |  | 02/13/2003                     | Civanlar et al.                                    |   |
| 17                 | US- 6,546,017         |  | 04/08/2003                     | Khaunte  |   |
| 18                 | US- 2003/0070063      |  | 04/10/2003                     | Boyle et al.                                       |   |
| 19                 | US- 2003/0093669      |  | 05/15/2003                     | Morais et al.                                      |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(Use as many sheets as necessary)</i> |       | Application Number     | 10/613,659       |                          |
|  |       | Filing Date            | 07/03/2003       |                          |
|  |       | First Named Inventor   | Andrew DANFORTH  |                          |
|  |       | Art Unit               |                  |                          |
|  |       | Examiner Name          | Not yet assigned |                          |
| Sheet 02   | of 03 | Attorney Docket Number | 2816-025         |                          |

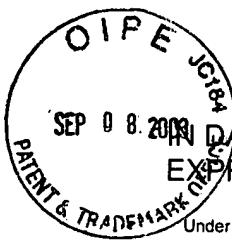
| NON PATENT LITERATURE DOCUMENTS |                       |   |                |  |
|---------------------------------|-----------------------|---|----------------|--|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |  |
|                                 | 20                    | ALEXANDER, et al, "DHCP Options and BOOTP Vendor Extensions", March 1997  |                |  |
|                                 | 21                    | CABLE TELEVISION LABORATORIES, INC., "Data-Over-Cable Service Interface Specifications: Baseline Privacy Plus Interface Specification", SP-BPI+-I09-020830, August 30, 2002   |                |  |
|                                 | 22                    | CABLE TELEVISION LABORATORIES, INC., "Data-Over-Cable Service Interface Specifications: Radio Frequency Interface Specification", SP-RFIv1.1-I09-020830, August 30, 2002  |                |  |
|                                 | 23                    | CABLE TELEVISION LABORATORIES, INC., "Data-Over-Cable Service Interface Specifications: Radio Frequency Interface Specification", SP-RFIv2.0-I03-021218, December 18, 2002  |                |  |
|                                 | 24                    | CISCO, "Internal DOCSIS Configurator File Generator for the Cisco Cable Modem Termination System", Cisco Cable Modem Termination System Feature Guide, Chapter 6  |                |  |
|                                 | 25                    | COMMUNICATIONS TECHNOLOGY, "Cable Modem Security: Insulating Your Network While Keeping Your Subscribers Safe from Each Other", October 2001  |                |  |
|                                 | 26                    | CROFT et al, "BOOTSTRAP PROTOCOL (BOOTP)", September 1985   |                |  |
|                                 | 27                    | DROMS, "Dynamic Host Configuration Protocol", March 1997  |                |  |
|                                 | 28                    | JACOBS, et al, "Bandwidth Burglary in Broad Daylight: How to Prevent a Simple Hack", January 2003   |                |  |
|                                 | 29                    | PFENDTNER, "DOCSIS Network Security at WH-Netz", November 20, 2002  |                |  |

|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |    |    |    | <i>Application Number</i>     | 10/613,659       |
|  |    |    |    | <i>Filing Date</i>            | 07/03/2003       |
|  |    |    |    | <i>First Named Inventor</i>   | Andrew DANFORTH  |
|  |    |    |    | <i>Art Unit</i>               |                  |
|  |    |    |    | <i>Examiner Name</i>          | Not yet assigned |
| Sheet  | 03 | of | 03 | <i>Attorney Docket Number</i> | 2816-025         |

## **NON PATENT LITERATURE DOCUMENTS**

|                       |  |                    |  |
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| Examiner<br>Signature |  | Date<br>Considered |  |
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